

**GOVERNMENT OF INDIA
MINISTRY OF RAILWAYS
RAILWAY BOARD**

No. F(X)II-2015/PW/7

New Delhi, dated 12/06/2017.

**The General Managers,
All Indian Railways,
Including CLW, DLW, ICF, RCF & Rail Wheel Factory.
Metro Railway, Kolkata.**

**The General Manager (Const.),
N.F. Railway, Guwahati.**

CAOs (Constn), All Indian Railways (except NF Railway)

**The Director General and Ex-Officio General manager, RDSO, Lucknow.
The General Manager,
Central Organisation for Rly. Electrification, Allahabad.**


CAO, MTP/Mumbai.

CAO/DLMW, Patiala and COFMOW, New Delhi.

Sub: Delegation of Powers to General Managers – Revision of Annexure-II to Chapter V, Indian Railways Financial Code, Vol. I.

The powers of General Managers are laid down in Annexure-II to Chapter-V of the Indian Railways Financial Code, Volume-I. A revised version of these powers was last issued vide Board's letter No. F(X)II-2010/PW/2 dated 11/10/2010. These powers have been further liberalized/enhanced by Board from time to time. In supersession of all the circulars issued on the subject, a comprehensive list of powers in the form of Advance Correction Slip No. 79 is enclosed.

Please acknowledge receipt.


(Niraj Kumar)
Director Finance (Exp.) I
Railway Board.

No. F(X)II-2015/PW/7

New Delhi, dated 12/05/2017.

Copy for information to:

**The Director General, Railway Staff College, Vadodara.
The Director, Indian Railway Institute of Civil Engineering, Pune.
The Director, Indian Railway Institute of Mechanical and Electrical Engineering, Jamalpur.
The Director, Indian Railway Institute of Signal Engineering and Telecommunications, Secunderabad.
The Director, Indian Railway Institute of Electrical Engineering, Nasik.
The Executive Director, Indian Railways Centre for Advanced Maintenance Technology, Gwalior.
The Director, Indian Railway Institute of Transport Management, Lucknow.
The Registrar, Railway Claims Tribunal, Delhi.
The General Secretary, IRCA, New Delhi.
The Chief Commissioner of Railway Safety, Lucknow.
The Secretary, Railway Rates Tribunal, Chennai.
The Chairman, Railway Recruitment Board, Ahmedabad, Ajmer, Allahabad, Bangalore, Bhopal, Bhubaneswar, Chandigarh, Chennai, Gorakhpur, Guwahati, Jammu & Srinagar, Kolkata, Malda, Mumbai, Muzaffarpur, Patna, Ranchi, Secunderabad and Trivandrum.**



**(Niraj Kumar)
Director Finance (Exp.)
Railway Board.**

No. F(X)II-2015/PW/7

New Delhi, dated 12/05/2017.

Copy to: Dy. Comptroller & Auditor General of India (Railways), Room no. 224, Rail Bhawan, New Delhi with 45 spares.

**FA & CAOs, All Indian Railways including Production units.
FA & CAO(C)s, All Indian Railways.**



**(Niraj Kumar)
Director Finance (Exp.)
Railway Board.**

ADVANCE CORRECTION SLIP NO.79

FINANCIAL CODE VOLUME I – 1982 Edition (Reprint – 1998)

ANNEXURE-II –(See Paragraph-505)

POWERS OF GENERAL MANAGERS OF INDIAN RAILWAYS

In supersession of existing orders on the subject, the President is pleased to make the following rules specifying the areas in which the General Managers may not sanction expenditure or deal with other matters without previous sanction of the higher authority. These rules will be applicable to General Managers of Zonal railways and Production Units as well as Director General, Research Design and Standards Organisation and General Managers (Construction) in so far as they relate to them.

Subject to the observance of these rules, the General Managers shall have powers to sanction expenditure and to delegate their powers to authorities subordinate to them alongwith powers to re-delegate them to lower authorities.

Any delegation or re-delegation of powers by the General Managers to authorities subordinate to them should be made only in consultation with the Financial Adviser and Chief Accounts Officers of the Railways and will be subject to the existing codal provision as well as other regulations/instructions issued by Railway Board from time to time. In the event of a difference of opinion between the General Manager and the Financial Adviser and Chief Accounts Officer, the matter should be referred to Railway Board for orders.

The exercise of the powers delegated shall be subject to existing Codal Provisions, Rules and Regulations as well as restrictions imposed from time to time by Railway Board in the context of economy in expenditure or otherwise.

RULES

The previous sanction of the higher authority is necessary.

A – Gazetted Staff

1. To the creation and the abolition of a permanent post and to the alteration of the scale of pay of a service or of a post.
2. To the keeping of a post sanctioned by the Railway Board unfilled for more than twelve months upto JA Grade provided that if the post has remained unfilled for more than six months, its justification is established afresh with the concurrence of Financial Adviser and Chief Accounts Officer before operating the post.
3. To the creation of a temporary post except that post may be created without higher sanction in Senior Scale/Class II Services for a period not exceeding six months/twelve months respectively in emergent situation with concurrence of Financial Adviser and Chief Accounts Officer and provided that the post will not be operated beyond six months/twelve months.
- 4 (a) To the creation of work-charged posts above JA Grade against Construction/Survey/Scrap Sale/M&P estimates.

NOTE: Temporary/Work-charged post/posts in JA Grade, Senior Scale, Junior Scale or Class II specifically provided for in a Construction/Survey/M&P/Scrap Sale Estimate or in an Urgency Certificate sanctioned by the General Manager or by the Railway Board may be created/extended by the General Manager with prior concurrence of Financial Adviser and Chief Accounts Officer.

(b) To the grant of provisional payment beyond 3 months to incumbents of such posts which are proposed to be extended beyond available sanction and which need approval of Railway Board.

5. (a) To grant to a Railway Officer emoluments (whether as pay, honorarium or allowances of any sort) higher than that admissible under the Railway Rules or any orders of the Government of India.

(b) To permit a Railway Officer to undertake work and/or receive fee (non-recurring or recurring) from private sources exceeding Rs.5,000/- in each individual case.

(c) To permit Medical Officers including Chief Medical Officer to receive fees for professional attendance if they hold posts in which private practice is debarred under orders of a higher authority.

(d) To grant to an officer above JA Grade a reward in excess of Rs.5,000/- in each individual case.

Note:-

- i) The limit of group cash award will be Rs.1,00,000/- in each individual case.

- ii) While granting group cash award, the limit of individual reward should not be exceeded.
- iii) If group cash award is being awarded both for gazetted officers and non-gazetted staff simultaneously, total limit for both the categories clubbed together will be Rs.1,00,000/- only.

(Authority Board's letter No.F(X)II-2016/PW/3/Pt 3 dated16.12.2016)

(e) To the acceptance of honorarium by a Gazetted Railway servant in excess of Rs.10,000/- in a year for undertaking work as arbitrator in a dispute in which the Railway Administration is a party and in excess of Rs. 5,000/- in a year in all other types of cases, except cases of acceptance of honorarium for conducting Disciplinary Enquiries against Railway Officers arising out of Vigilance/CBI cases where the limit shall be as per the rate fixed by Board from time to time.

(Authority Board's letters No. F(X)II-2008/PW/6 dt. 25/04/2013.)

NOTE:

(i) General Manager may grant to an Officer upto and including SA Grade in addition to this pay, special pay limited to 10% of the presumptive pay of the additional post when he holds charge of another post in addition to his own, provided the additional charge is held for a period exceeding 45 days but not exceeding six months in the case of officers upto and including JA Grade and 3 months in case of officers working in SA Grade.

(ii) The aggregate of pay and additional pay shall not exceed Rs. 80,000/- per month.

(iii) The dual charge arrangement shall not be carried beyond six months in the case of JA Grade and three months in the case of SA Grade officers under any circumstances.

(Authority: Board's letters no. F(X)II-94/PW/9 dt. 29/12/94, F(E) Spl. 98/FR/1/1 dt. 28/1/2000 and F(E) Spl. 2009/FR/1/3 dt. 21/06/2010)

6. To the write-off of –

(a) Advances.

(b) Amounts overdrawn by Officers, or otherwise due from them, which were discovered in audit within a year.

7. To the construction or purchase of a building for use as residence by an Officer or to hire a building for use as residence by an Officer under conditions which fall outside the framework of the extant instructions in regard to the ceiling of rental, minimum period for the lease and other conditions.

8. To the acceptance of resignation of an Administrative Grade Officer.

NOTE: The General Manager, while accepting resignations of the Officers upto Senior Scale level, will have the power to waive the prescribed notice period in respect of Probationary Officers selected for IAS/IFS/IPS.

B – Non-Gazetted Staff

9. To the grant of special pay or other additions to pay or to the grant of personal pay otherwise than in accordance with Rule 2003(23)(a)-RII and allowances other than those admissible under Railway Fundamental or Supplementary Rules or any other orders of the Government of India.

10.(a) To the grant of honorarium in excess of Rs.15,000/- in each individual case.

(b) To the grant of honorarium to the cashiers and other cash and pay office staff, in excess of 25% of their annual basic pay plus grade pay in a financial year.

Note:

- i) Where the honorarium is recurring, the limit applies to the total amount paid in a financial year.
- ii) These powers will be exercised with the concurrence of FA&CAO.

(Authority: Board's letters No. 99/AC-2/20/2/Pt. dated. 25/07/2013 & F(X)II/2013/PW/4 dated 25.07.2013)

(c) To the grant of reward in excess of Rs. 5,000/- in each individual case.

Note:-

- i) The limit of group cash award will be Rs.1,00,000/- in each individual case.
- ii) While granting group cash award, the limit of individual reward should not be exceeded.
- iii) If group cash award is being awarded both for gazetted officers and non-gazetted staff simultaneously, total limit for both the categories clubbed together will be Rs.1,00,000/- only.

(Authority Board's letter No .F(X)II-2016/PW/3/Pt 3 dated16.12.2016)

(d) To the grant of reward in excess of Rs. 30,000/- in each individual case to Railway employees who have done exemplary work to avert accidents.

Note: The powers indicated in 10(d) above may be re-delegated to DRMs upto Rs.7,500/- in each case.

(Authority: Board's letter No. F(X)II-2014/PW/4 dated 05.02.2015)

C – General : Gazetted and Non-Gazetted Staff

11. To the grant of advances otherwise than as authorised under general rules and orders

12. To the grant of leave otherwise than as admissible under the rules.

13. To the deputation of a Railway servant out of India.

NOTE: DG/RDSO, however, will be guided by Board's extant orders on the subject.

14. To the ex-gratia payment of bonuses or rewards in excess of Rs.50,000/- per case, for inventions.

NOTE: GM may exercise these powers only in those cases where the employee secures the patent for such innovation and transfers the rights to Indian Railways unconditionally and such innovation is considered useful for Indian Railways.

(Authority: Board's letter No.2016/F(X)II/PW/3/Pt.3 dated 10.02.2017)

15. To the grant of rewards for detection and/or apprehension of offenders in a criminal case in excess of Rs.5,000/- in each case.

16. To the reimbursement of legal expenses of a Railway servant –

- (a) Who institutes a suit in a Court of Law without the prior approval of the Railway Administration; or
- (b) If the suit emanates as a result of actions taken by the Railway servant other than the discharge of official duty.

(Authority: Board's letters No. F(X) II/2016/PW/3 dated 14.09.2016)

17. To the purchase of a motor-car, motor-cycle, motor-boat or any other vehicle.

NOTE:

- (1) The General Manager may hire vehicles within the Policy instructions issued by Board from time to time. These powers can be further delegated to PHODs.
- (2) Before hiring of a vehicle is sanctioned, it should be certified that the demand can not be met by transfer from one of the works on hand or completed.

(Authority: Board's letters No. F(X) II/2016/PW/3 dated 14.09.2016 & 04.05.2017)

18. To the payment of compensation in case of Railway accidents other than those awarded by Railway Claims Tribunal to the dependants of Railway employee killed or to Railway employees injured in accidents caused by the working of trains or Railway engines provided that the death or injury was

met with in the discharge of their duties otherwise than due to their own negligence or willful action, in excess of a maximum of 24 months' emoluments of the Railway employee.

NOTE:

(1) In the case of persons to whom the Workmen's Compensation Act applies, compensation shall be paid under this rule only if the authority competent to sanction compensation considers that more liberal treatment than that given by the Act is necessary. The compensation so paid shall not, inclusive of the compensation payable under the Act, exceed the amount admissible under this rule.

(2) The term 'emoluments' means monthly average of emoluments as defined in paragraph 2544-R/II (CSR 486) and in the case of running staff the emoluments will include the pay element in running allowance viz. 30% of the average basic pay drawn during the last twelve months or during the entire service if it is less than twelve months.

(3) The above ceiling of 24 months' emoluments would not be applicable wherever the compensation is paid strictly as per the statutory provisions of the Workmen's Compensation Act.

(Authority: Board's letter No. F(X)II-2003/PW/10 Pt. II dated 18/11/2004).

19. To the grant of compensation to a Railway employee for loss of private property except compensation in excess of Rs. 5,000/- in any individual case for loss suffered by an employee in the course of a strike or Railway accident in the execution of Railway duty and from circumstances arising directly out of that duty.

20. To the sanction of ex-gratia payment in excess of Rs.3,000/- to the dependant of a Railway employee in the event of his/her death arising out of injuries sustained in the execution of his/her duty.

21. To the payment of medical charges otherwise than as authorized under general rules or orders.

22. To permit a railway servant below the rank of junior Administrative Grade to travel by Air on duty.

NOTE: Grant of permission by the General Manager to Junior Administrative Grade Officers to travel by Air on duty would be subject to the following conditions:

1. These powers may be exercised only by General Managers and not by CAOs, and, are not to be re-delegated further. However, the Chief Administrative Officer, COFMOW/New Delhi, DCW/Patiala and MTP/Chennai may also exercise these powers with the personal concurrence of FA&CAO.

(Authority: Board's letter no. F(X)II-2003/PW/6 dated 4/4/2003.)

2. These powers are to be exercised personally by the General Manager with the personal concurrence of FA&CAO.

3. Air travel will be permitted only in cases where the duration of the journey one-way is more than 12 hours by rail.

4. Each proposal regarding Air Travel would require to be justified on merits.

23. To the remission of recovery of rent from the incumbent of a post for which a quarter has been allotted.

NOTE: The General Manager may exempt the incumbent of a post from occupying the quarter allotted to the post and/or from paying rent thereof upto a maximum period of four months in the following circumstances:

(i) when an employee is temporarily transferred to a post in another station;

(ii) when the quarter is subjected to extensive repairs, such as renewals of roofing, replacement of flooring, or such other special works necessitating the vacation of the whole quarter;

(iii) when an employee is required to vacate the quarter under medical advice on account of an infectious disease or an epidemic.

24. To the waiver of the cost of training of a Railway employee who has received training at Railway's cost and who is required to serve the Railway for a minimum prescribed period, in excess of the cost proportionate to the service rendered.

25. To the sanction of expenditure on deputation of Railway employees for training courses, seminars, symposia in non-Railway Institutions in excess of Rs.20 lakh in a year for Zonal Railways and Rs.8 lakh in a year for Production Units.

Note:

(i) The overall registration fee, however, should be subject to a maximum of Rs.25000/- and pro-rata registration fee of Rs.4,000/- per day. For arriving at this monetary limit the cost of TA & DA should not be taken into account.

(ii) The ceiling limits of above powers will be exclusive of service tax or any other taxes/charges.

(iii) DRMs may be delegated powers for nomination of non-Gazetted Railway employees for training in recognized institution of repute for registration fee of Rs.2,000/- per day and overall registration fee upto Rs.10,000/- per training course per employee subject to the budget grant allotted by Zonal Railway to Division for this purpose.

(Authority Board's letter No.2017/F(X)II/PW/1/I , dated 18.05.2017)

D – Payments to persons other than Railway employees

26. To the refund of fare and freight, otherwise than in accordance with relevant Tariff Rules, in excess of Rs. 20,000/- in each case.

27. To the write-off of amounts due to the Railways, otherwise than when they are irrecoverable, in excess of Rs.2 lakh in each case.

NOTE:

Items (26) and (27) do not apply to wharfage, demurrage, storage and lost property charges which may be written off or refunded by Railway Administration without the sanction of higher authority.

(Authority: Board's letters No. F(X) II/2016/PW/3 dated 14.09.2016)

28. a) To the grant of rewards in excess of Rs.25,000/- in each case.

b) To the grant of reward in excess of Rs.50,000/- in each case to the persons who have done exemplary work to avert accidents and saved passengers life.

Note: The powers in item no. 28 (b) above may be re-delegated to DRMs upto Rs.10,000/- in each case.

(Authority: Board's letters No. F(X) II/2016/PW/3 dated 14.09.2016)

29. (a) To the incurrence of expenditure on each ceremonial occasion in excess of Rs. 2 lakh.

(b) To the incurrence of expenditure on important functions which are attended by:

(i) Minister of Railways/ Minister of State for Railways: in excess of Rs. 10 lakhs in each case,

(ii) President/Prime Minister: in excess of Rs.15 lakh in each case.

NOTE:

(i) The powers under item No. 29 will be exercised by the General Managers subject to an annual ceiling of Rs.25 lakh for Production Units and Rs. 50 lakh for Zonal Railways.

(ii) The power under item No. 29(a) will cover the expenditure on Railway week celebration also, the limit for which will be Rs.2 lakh for a Zonal Railway/Production Unit as a whole.

(Authority: Board's letters No. F(X) II/2016/PW/3 dated 14.09.2016)

30 (a) To the incurrence of expenditure in engaging/obtaining consultancy services from outside bodies in excess of Rs. 2 crore for PPP projects (other than property development schemes) and Rs.1 crore for other works in each case or in excess of Rs. 6 crore in a year.

(b) To the incurrence of expenditure in engaging/obtaining consultancy services connected with property development schemes, i.e. for commercial exploitation only, from outside bodies in excess of Rs. 2.5 crore in each case

or in excess of Rs.12.5 cr. in a year for Zonal Railways, Production Units and RDSO.

NOTE :-

- i) It may be ensured that no contracts are awarded by the Railways for activities which can be carried out in-house.
- ii) Details of consultancy contracts awarded by each Zonal Railways for commercial exploitation should be endorsed to all other General Managers for information to avoid duplication.
- iii) The General Managers will evolve, in consultation with FA&CAO, a suitable mechanism to ensure that the limits laid down herein are not exceeded under any circumstances.
- iv) For consultancy contracts, the minimum level of Tender Committee shall be SAG.
- v) All cases decided by the Zonal Railways should be reported to Board through the PCDOs to CRB and FC, to enable assessment of the exercise of these powers.
- vi) The agency/consultant is renowned/Government approved and has sufficient experience in the relevant field.
- vii) The powers delegated to the officers below the GM are as under:-

(a) **CAO:-** Rs.50 lakh in each case with annual ceiling of Rs.3 crore with concurrence of FA&CAO/FA&CAO(C). These powers of CAOs will be in addition to and separate from the powers delegated to General Managers. Also, the level of Tender Committee shall be SAG.

(b) **PHOD:-** Upto Rs.10 lakh in each case with annual ceiling of Rs.20 lakh with concurrence of FA&CAO/FA&CAO(C).

(c) **DRM:-** Upto Rs.5 lakh in each case with annual ceiling of Rs.10 lakh. These powers will be exercised by DRMs in consultation with associate finance. In the tender committee JAG/SG level officers may be nominated. In cases where JAG/SG level officers are not available from Finance side in the Division, DFM may be nominated as Finance member of the tender committee.

However, with reference to delegation as per (a), (b) & (c) above, if the consultancy contract is to be fixed only on a single tender basis due to special circumstances, GM's personal approval would be required irrespective of the value of the contract.

(Authority: Board's letter No. F(X) II/2016/PW/3 dated 14.09.2016)

31.
 - (a) To the filing or defending of an appeal in the Supreme Court.
 - (b) To the engagement of a Railway Counsel on scale of fees higher than that prescribed by Ministry of Law and Justice;
 - (c) To the engagement of counsel at more than Rs.1,050/- per day in Supreme Court or in any of the High Courts or at more than Rs.750/- per day elsewhere;
 - (d) To payment of fees in excess of scales of fees fixed by the High Courts concerned.

32. To the grant of allowances or fees to private persons or donations to private bodies including Railway Institutes, Hospitals and Schools, an excess of the scales or maximum limits laid down by the Railway Board.

33. (a) To the payment of arbitration fees to private persons in excess of Rs. 25,000/- in each case.

NOTE:

(i) The circumstances under which such appointment of private persons has been made, should, however, be brought to the notice of the Board.

(ii) This will not apply to payment of fees to arbitrators appointed by Court, for which full powers will be exercised by General Managers.

(Authority: Board's letter No. F(X)II/2000/PW/2, dt. 29/5/2000)

- (b) To the payment of arbitration fees to Retired Railway officers in excess of Rs.75,000/- per case.

(Authority: Board's letter No. F(X)II-2008/PW/6 dated 15/10/2009)

(c) To the payment of contribution/subscription to a medical institution, if medical aid is rendered by such institution to Railway employees, in excess of Rs.1,000/- per annum.

- (d). To the payment of contribution or subscription to a professional institution in excess of Rs.10,000/- per annum in each case. These powers may be delegated to DRMs also.

(Authority Board's letter No.2017/F(X)II/PW/1/I dated 18.05.2017)

NOTE:

(1) The General Manager will have full powers for payment of fees to surveyors engaged for the assessment of the values of damaged consignments.

(2) In respect of any item for which no scale has been laid down in any of the extant orders, the Railway Administration shall have no powers.

34. To the payment of compensation in cases of Railway accidents, otherwise than as specified below:

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|---|------------------------------------|
| (A) Ordinary Passengers (including Government servants, other than those specified in Category B below and their dependents). | Upto Rs.4 lakh in any single case. |
|---|------------------------------------|

(Authority: Board's letter no. F(X)II-2000/PW/2 dt. 23/01/2001)

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|-----|---|---|
| (B) | Military men, being or travelling on military duty. | No powers. All cases to be submitted to the higher authority. |
| (C) | Compensation to public (other than Railway passengers) for injury to person or damage to property caused by accidents as a result of negligence or carelessness on the part of Railway. | Upto Rs.10,000/-. |
| (D) | Claims decreed by a Court of Law. | Full powers to pay any sum so decreed. |
| (E) | Claims settled out of Court with advice of Law Officer of Government. | Upto Rs.25,000/- in any single case. |

35. To the payment of claims, otherwise than as specified below or claims for compensation other than those relating to goods lost or damaged and those arising out of Railway accidents –

- | | | |
|------|-----------------------------------|---|
| (i) | Claims settled out of court. | Upto Rs.10,000/- in each case. |
| (ii) | Claims decreed by a court of Law. | Full powers subject to the condition that particulars of cases involving expenditure of more than Rs.25,000/- in each case should be reported to Railway Board for information. |

NOTE: The powers under item 35(i) above should not be re-delegated to subordinate authorities.

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| 36. | Claims settled by arbitration award against any dispute arising out of a contract. | Full powers, subject to the conditions that the Railway Board should be reported about the details- |
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(i) Where payment to a contractor as a result of the arbitrator's award exceeded by more than Rs.10 lakh of the amount considered due by the Railway Administration before the arbitration proceedings began;

(ii) Where deficiencies in the General Conditions of contract or flaw in procedures/practices noticed by Railways/ PUs during the course of arbitral proceedings/awards, regardless of value of award.

NOTE: The powers under item 36 above should not be re-delegated to authorities below the level of HODs.

(Authority: Board's letter No. F(X) II/2016/PW/3 /Pt 3 dated 02.02.2017)

E – Expenditure on works not wholly chargeable to Ordinary Working Expenses

37. To the inclusion in the Preliminary Works Programme of :-

(a) New Works under the Plan Heads New Lines, Gauge conversion, Railway Electrification, Metropolitan Transport Projects, Computerization and setting up of New Production Units; and

(b) Other New Works in excess of Rs. 5 crore each.

Sanction of Works

38. To the incurrence of expenditure on New Lines, Gauge Conversion, Railway Electrification works, Metropolitan Railway Projects, Computerization, setting up of new Production Units, or Rolling Stock or Surveys not provided in the sanctioned budget for the year or carried forward from the sanctioned budget of the previous year.

39. To the incurrence of expenditure of other works not provided in the sanctioned budget or carried forward from the sanctioned budget of any previous year, except –

(a) Works/M&P under Lumpsum Provision –

All works costing less than Rs.2.5 crore each with the following restrictions:-

- i) Total lumpsum provision made in the Budget for such works is not exceeded.
- ii) Works in respect of existing Railway Schools, Hospitals, Dispensaries, Institutes, Officers Club, Rest Houses and Holiday Homes – not more than Rs. 20 lakh in each case,
- iii) Machinery and Plant – costing not more than Rs.50 lakhs each for GM/Open Line and PUs (including CAO in independent charge). General Managers may decide to delegate these powers upto a maximum of Rs. 10 lakh to CWMs/DRMs subject to annual ceiling fixed by the Railway for the Workshop/Division.
- iv) Works under Computerization Planhead:-

- (a) Despite the provisions of Items No. 37 and 38 above, General Managers can sanction works upto Rs. 1 crore per case in Planhead 17 under List of Approved Works for replacement of the overaged IT equipments for IT related works. While replacing these assets, Railways will ensure that the equipments procured conforms to the latest specifications issued by Railway Board. However, these powers shall be exercised with the personal concurrence of FA&CAO and personal approval of General Manager.
- (b) It is reiterated that powers for Software Development have not been delegated to Railways and proposals regarding them shall continue to be sent to Railway Board for further processing and approval.
- (c) **Extension/Upgradation/Strengthening of LAN;** GMs can sanction extension/upgradation/strengthening of LAN upto Rs.20 lakh in each case.

(B) Out of Turn Works –

Works costing less than Rs.2.5 crore each subject to the following restrictions:-

- i. The funds required for such works as provided in the sanctioned budget for works in these categories are not exceeded.
- ii. Budgetary ceiling will be Rs.12 crore (other than lumpsum) in a financial year, of which not more than Rs.5 crore could be on other than safety related items with the proviso that all safety works should be completed within a maximum period of 8 months from the date of sanction.
- iii. While sanctioning Out of Turn works, the urgency of work and the throwforward of works under the planhead/source should be kept in view.
- iv. Restrictions given in para 39 (a) should be followed.
- v. Powers to sanction M&P items and works under computerization Plan Head can be exercised only as per provisions contained in Para 39 (a).
- vi. For passenger Amenities Works, Emphasis should be on creation of amenities of durable and lasting nature and funds should not be frittered away on provision of superficial items like furnishings and furniture, etc.

Note:

DRMs may sanction works under the planhead 'Passengers and Other Users' Amenities' upto Rs.1 crore in each case under item 39.

(Authority: Board's letter No. 2016/F(X)II/PW/5 dated 07.12.2016)

40. (1) Sanction of Detailed Estimate/Revised Estimates: -

(a) To the sanction of Detailed Estimate/Revised Estimate for works where the excess over original sanctioned cost (abstract cost) due to reasons other than price escalation is above 20% of the original cost estimate;

NOTE:

- i) GMs will have full powers to sanction excess due to price escalation.
- ii) While processing the revision in the cost of estimate, the reasons for delay/time overrun should be clearly recorded.
- iii) The change in scope of work shall be governed by powers for material modification given in item no. 49.
- iv) CAO/Con in HAG may sanction Detailed/Revised Estimates in the cases where original cost of the work is not more than Rs.500 crore subject to limits of variations, indicated in item no.40(1).
- v) Personal approval may be obtained at the level of General Manager with the personal concurrence of FA&CAO/FA&CAO(C) in cases of estimates where original cost of the work is above Rs.500 crore.
- vi) These are the overall variations on the original sanctioned cost as included in the Budget and cannot be exceeded even if more than one revised estimate is sanctioned.
- vii) This delegation will not affect rules in force regarding material modification.
- viii) For variation exceeding the above limits, approval of Board will be required. In such cases, proposals may be sent to board with personal concurrence of FA&CAO/FA&CAO(C) and personal approval of General Manager along with a detailed variation statement and a suitable explanatory note.

(b) To the sanction of track renewal estimates where the excess is not within the General Manager's competence as prescribed in sub-item 40(1)(a).

(Authority: Letter No. 2016/F(X)II/10/17 dated 05/10/2016 & F(X)II/2016/PW/3/Pt.3 dt. 14/10/2016)

40(2) To an excess over the estimated cost of Machinery & Plant included in M&P Programme as follows:

- a) Increase in cost due to price escalation – More than 100% of original estimate or Rs.2 crore, whichever is less.
- b) Increase in cost due to reasons other than price escalation – More than 20% of original estimate or Rs.50 lakh, whichever is less.

NOTE:

- i) Powers indicated in item No. 40(2)(b) above covers material modification also.
- ii) Personal approval may be obtained at the level of General Manager with the personal concurrence of FA&CAO in cases of material modifications costing above Rs.10 lakh each.
- iii) These are the overall variations with respect to original sanctioned cost as included in the Budget at the time of sanction and cannot be exceeded even if more than one revised estimate is sanctioned.

(Authority: Board's letter No.2011/F(X)II/5/11 dated 24.08.2016)

40(3). Surveys

To an excess over 20% on an original estimate sanctioned by higher authorities.

NOTE:

(i) General Manager can sanction survey estimates provided the surveys are included in the sanctioned budget and approved yardsticks are followed while remaining within the amount indicated in the Budget.

(ii) This delegation does not apply to works which do not have necessary procedural approval.

(Authority: Board's letter no. F(X)II/2000/PW/2, dt.27/6/2000)

40(4) Lumpsum Works:-

(i) To incur expenditure on lumpsum works provided in the sanctioned budget for the year or carried forward from the sanctioned budget of any previous year in excess of the total lumpsum provision for such works in the sanctioned budget.

(ii) To sanction excess over estimates of works sanctioned under item no. 39 in cases where percentage variation is beyond the competence of the General Manager in terms of Rule 40(1)(a).

NOTE:

1) The General Managers can sanction excess over estimate even when the variation is beyond the percentage variation prescribed in item no.40(1) (a) so long as the revised cost is within the limit of powers of General Managers to sanction new works under item no.39

2) The works thrown forward from previous years may be taken up only if the funds required for them can be found by re-appropriation within the sanctioned allotment.

(Authority: Board's letter No.F(X)II-2014/PW/1/PtII dated 07.08.2015)

40(5) Part Estimates: To sanction part estimates costing above 5 % of the total value of the work, as originally sanctioned in the Budget.

NOTE:

i) Part estimates may be sanctioned towards incurring expenses for preliminary activities like FLS, Geo-technical studies, Design, Preparation of Plans and Drawings etc. which will help in preparation of Detailed estimate.

ii) The detailed estimate should be allowed to be prepared and got sanctioned only after these preliminary activities are completed.

iii) These preliminary activities may be executed on Works Contract basis.

iv) CAO/Con in HAG may exercise above power to sanction part estimate where original cost of the work is not more than Rs.500 crore.

(Authority: Board's letter no. F(X)II-2016/PW/3/Pt.3 dt.14/10/2016)

F-Miscellaneous

41. To the sale of -

a) Any portion of a Railway line.

b) Any item of authorized rolling stock.

Note:- The sale of following may be excluded from above delegation:-

i) Railway component can be sold for the purpose of vendor/product development at book rate/latest purchase rate whichever is higher with usual charges as permitted in Stores code.

ii) Movable asset (other than rolling stock) can be sold on book rate or reserve price whichever is higher, provided it is not to be replaced.

(Authority: No. F(X)II/2016/PW/3 dated 22.03.2017)

42. To the dismantling or otherwise permanently closing to public traffic of any existing open line section.

43. To the alteration of or departure from the terms of contracts with Branch or Worked Lines.

44. To the write off of irrecoverable losses of cash, stores, tools and plant -

i). exceeding Rs.5 lakh in value when a Railway employee is in any way responsible for the loss.

ii). exceeding Rs.5 crore in value when a Railway employee is not in any way responsible for the loss.

NOTE:

(1) Every important case of loss should be brought to the notice of the Railway Board, as soon as possible, after its discovery. When the loss involved does not exceed Rs.50,000/- the case need not be reported unless it represents unusual features or reveals serious defects in procedure.

(2) In efficient balances under Suspense Heads not exceeding Rs.1 lakh can also be written off by the General Manager.

(Authority: Board's letter No. F(X)II/2016/PW/3, dt. 14/09/2016)

45. To the incurrence of expenditure in excess of Rs.5 lakh per year in connection with the entertainment of distinguished visitors, i.e. distinguished official or non-official personages, representatives of foreign technical associates, foreign technical experts, representatives of Trade and Industry including overseas companies.

(Authority: Board's letter No. F(X)II/2016/PW/3, dt. 14/09/2016)

46. Except in accordance with the rules contained in the Indian Railway Codes or any general or special orders issued by the Railway Board –

- i) to the construction of an assisted siding.
- ii) to the acquisition, lease or disposal of land.

47. To enter into contracts beyond the powers delegated by Board.

(Authority: Board's letter No. F(X)II-2014/PW/1 dated 01.01.2015)

48. To the grant of advances to contractors –

- (i) Mobilization advance in excess of 10% of the contract value.
- (ii) Advances against new machinery and plant – in excess of 10% of contract value or 75% of the purchase price of new machinery and plant brought to the site of work (whichever is less).
- (iii) Advances for accelerating the progress of work in special circumstances in excess of 5% of contract value or Rs.1 crore (whichever is less).

NOTE: All advances mentioned above shall be subject to levy of interest charges at the rate of 4.5% per annum above the base rate of State Bank of India or as prescribed by the Railway Board.

(Authority: Board's letters no. F(X)II-97/PW/4 dt. 5/5/98 and 2007/CE-1/CT/18 Pt.2 dated 04.10.2012 & 2007/CE-1/CT/18 Pt.3 dated 23.05.2012)

49. To any material modification estimated to cost Rs.2.5 crore or more in each case.

NOTE:

- i) Total value of Material Modifications in a work should not exceed Rs.20 crore. In case of Computerization Planhead, total value of material

modifications in a work should not exceed Rs.5 crore or 5% of the original cost of the work, whichever is less.

ii) The excess or the revised cost of the estimate does not go beyond the General Manager's power of sanction.

iii) A Material Modification estimated to cost more than Rs.50 lakh but below Rs.2.5 crore each should, be certified and sanctioned personally by FA&CAO/FA&CAO(C) and General Manager.

iv) Material Modifications which are beyond the competence of General Manager should be sent to Board with the personal concurrence of FA&CAO/ FA&CAO(C) and personal approval of General Manager.

(Authority: Board's letter No. F(X)-II/2014/PW/1 Pt II dated 30.10.2015)

50. To the grant of diet charges in any other case except –

(a) To a Railway employee undergoing treatment as indoor patient in Railway or non-Railway Government hospital for any diseases other than tuberculosis or leprosy or mental disease when the employee's basic pay is not more than Rs.7,820/- per month.

(b) (i) To a Railway employee or members of his family when receiving treatment for tuberculosis or leprosy or mental disease in a Railway hospital or an approved Institution, when the employee's basic pay is not more than Rs.11,540/- per month.

(ii) To the dependent relatives of a railway employee receiving treatment for tuberculosis or leprosy in a Railway hospital or an approved Institution, when the employee's basic pay is not more than Rs.11,540/- per month.

NOTE: In the case of temporary staff the pay last drawn while on active duty may be treated as substantive pay for the purpose of this sub-rule.

(c) To persons, whether Railway employees or not, requiring such assistance from the Railway in emergent cases which involve living under difficulties as regards necessities of life.

NOTE: (i) Indigent passengers injured or taken ill and removed to Railway hospitals and trespassers, who require immediate medical assistance may be given diet at the expense of the Railway Administrations, the expenditure being treated as part of ordinary working expenses of the Railway hospital.

(ii) The General Managers have powers to sanction free diet or reimbursement of the cost of such diet, according as the indoor treatment is given in a Railway hospital or in a non-Railway hospital, to Railway servants injured in the course of duty for such period as they remain indoor patients, not extending beyond one year after they are declared permanently unfit and discharged from service.

51. Deleted.

(Authority: Board's letter no.F(X)II/2016/PW/3/Pt.3, dt. 03/03/2017)

52. To the supply of electricity to outsiders except under the following conditions –

- (i) the provisions of the Electricity Act are not infringed;
- (ii) such supply does not cause any extra outlay either immediate or contingent;
- (iii) the supply can be made without any inconvenience to the Railway and after its full needs have been met;
- (iv) the rates charged allow for profit after taking into account all costs of production, direct and indirect and are above the rates supplied to Railway employees; and
- (v) the Railway should have power to discontinue the supply without notice and without compensation.

53. To any alteration in the existing cash and pay arrangements.

54. To the introduction of new designs for goods and coaching stock sanctioned for construction during each financial year irrespective of whether the stock is to be built to existing, sanctioned or new designs.

55. To changes, alterations or modifications in the design, layout or equipment of the existing rolling stock and marine vessels involving:-

- (a) infringements of the schedule of maximum and minimum running dimensions unless previously sanctioned;
- (b) decrease in the revenue earning capacities of coaching and goods vehicles and marine vessels, such as alterations in the class or seating capacity of coaching vehicles and marine vessels and a permanent decrease in the relationship between gross load and tare in the case of goods vehicles;
- (c) conversion of public service vehicles into Railway service vehicles and vice versa and material modifications (such as alterations in the wheel arrangements etc. in existing Railway service vehicles.);
- (d) the introduction of new facilities, fittings and equipments for the traveling public where such have not previously had the approval of the Board, e.g. cooling arrangements in carriages, electric fans in second class compartments, variations in types of lavatory and other equipments which involve matter of policy.

56. To alteration in the authorized stock of all descriptions.

57. To any expenditure on an object which has not previously been recognized as a fit object for Railway expenditure.

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