

<p align="center"><b>APPLICATION FOR EMPANELMENT OF ANNUITY SERVICE PROVIDER (ASPs) UNDER NATIONAL PENSION SYSTEM (NPS)</b></p>
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**INFORMATION TO BE FURNISHED BY THE APPLICANT**

<b>S. No.</b>	<b>Particulars</b>	<b>Details</b>
1.	Name of the Life Insurer	
2.	Principal place of business with full address (attach separate sheets, if necessary)	
3	Name of the Primary Contact Person	
4	Telephone & Mobile No.	
5	Fax No.	
6	E-mail ID	
7	Brief profile of executives & Managerial team with experience in the Annuity product field (attach separate sheet, if necessary)	
8	Details of experience in dealing with Govt Departments /organisations / Statutory /Autonomous bodies Banks /financial institutions / PSUs etc for the purpose of providing Immediate Annuity products (attach separate sheet, if necessary)	
9	Details of Immediate Annuity Business underwritten for the last 5 years on financial year basis	
10	Please confirm that no agency commission would be charged/allowed for the annuity business under NPS.	
<b>List of Documents to be attached along with this application</b>		
1.	A certificate duly signed by a Independent Chartered Accountant clearly stating the Net Worth (Paid up capital + Reserves + surplus) of the Company for the last 5 financial years	
2	A certificate from the CEO of the Company clearly stating the date from which the Company has started selling Annuity products along with a statement providing for the Name, unique identification number of the annuity products the company has and the date of approval of the	

	respective products.	
3	A certificate duly signed by a Independent Chartered Accountant stating that there is no change in shareholding of the Company of more than 20%, in the last 3 financial years	
4	A certificate from the CEO of the Company that the company is/was not barred from dealing with or selling annuity products in the market by IRDA.	
5	A certificate duly signed by CEO/CFO/CIO of the Company clearly showing the annuity provider's fund size (AUM) in Crores for the company, for the last 5 Financial Years	
6	Company's registration certificate issued by IRDA	
7	A certificate duly signed by CEO/CFO/CIO of the Company clearly showing the annuity provider's fund size (AUM) in Crores accruing from Annuity Business only, for the last 5 Financial Years	
8	A certificate duly signed by CEO/CFO of the Company clearly showing the full details on any regulatory strictures or penalties imposed by IRDA/SEBI/RBI or any other government body during the last 5 financial years and till 31st Oct, 2011. If no penalties/regulatory strictures were imposed by any of the above authorities a certificate clearly specifying the same needs to be submitted.	
9	A certificate duly signed by CEO of the Company on the Grievance settlement Ratio (No. of grievances settled / Total grievances reported Plus outstanding at the beginning of the financial year) and clearly providing the information for the last 5 financial years	
10	A certificate duly signed by CEO/CFO/CIO of the Company clearly stating the date from which the Company is selling Annuity products in India.	
11	One time registration fee of rupees one lakh	

Signature of the Authorized Person with official seal

Name

Designation

Contact details

Date:

**In case of any further information / clarifications on the matter, you may contact at the below mentioned address;**

**Venkateswarlu Peri**

**General Manager**

Pension Fund Regulatory and Development Authority (PFRDA)

1st Floor, ICADR Building, Plot no: 6, Vasant Kunj

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